### IAP5 Rec'd PCT/PTO 27 JAN 2006ENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chengzhi Zhang, et al.

Serial No.: [to be assigned] - National Stage Filing of PCT/US2004/025480

Title: Benzofuran Derivatives Useful for Treating Hyper-Proliferative Disorders

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# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF DATE OF NATIONAL STAGE ENTRY 37 C.F.R. 1.97(b)(2)

Dear Sir:

Applicants wish to cite for the record in the above-identified application the references shown on the accompanying form(s) PTO/SB/08A-B [substitute for form 1449/PTO]. A copy of the International Search Report is also enclosed for your reference.

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement transmitted herewith is being filed concurrently with the request under 35 U.S.C. § 371(f) to begin national examination procedures.

#### **FEE PAYMENT**

Applicants believe that no fees are due with this submission. However, the Commissioner is hereby authorized to charge any fees that may have been overlooked but that are required to Deposit Account 13-3372. Additionally, please credit any overpayment to the same account.

Respectfully submitted,

William F. Gray Reg. No.: 31,018

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PTO/SB/08A (07-05)

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|   | Application Number   | [to be assigned]                                     |  |
| INFORMATION DISCLOSURE  | Filing Date          |  |  |
| STATEMENT DV ADDI ICANT   | First Named Inventor | Chengzhi Zhang                                       |  |
|   |                      |  |  |

ENT BY APPLICANT Art Unit (Use as many sheets as necessary) Examiner Name Attorney Docket Number 5168

| U. S. PATENT DOCUMENTS |              |   |  |  |   |  |
|------------------------|--------------|---|--|--|---|--|
| Examiner<br>Initials*  | Cite<br>No.1 | Document Number                         | Publication Date<br>MM-DD-YYYY                   | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevan |  |
|                        |              | Number-Kind Code <sup>2 (I known)</sup> |  |  | Figures Appear  |  |
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|        |              | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) | MM-DD-YYYY             |  | Or Relevant Figures Appear                        | T⁴ |
| /N.C./ | F1           | WO 03/072561 A1   | 09-04-2003             | Bayer Pharmaceuticals                              | Corporation                                       |    |
| /N.C.  | , F2         | EP 0/731/097 A1   | 09-11-1996             | Eli Lilly and Company                              |   |    |
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| Examiner   | Date       | - |  |
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|                               |                                   |                   |                      | Application Number     | [to be assigned] / 566313 |
| <b>INFORMATION DISCLOSURE</b> |                                   |                   |                      | Filing Date            |                           |
| STA                           | STATEMENT BY APPLICANT            |                   | First Named Inventor | Chengzhi Zhang         |                           |
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|                               | (Use as many s                    | neets as ne       | ecessary)            | Examiner Name          |                           |
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|                       |                          | NON PATENT LITERATURE DOCUMENTS   |                |
|-----------------------|--------------------------|---|----------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| /N.C./                | R1                       | Hayakawa, I., et al., "4-Hydroxy-3-methyl-6-phenylbenzofuran-2-carboxylic Acid Ethyl Ester Derivatives as Potent Anti-tumor Agents", Bioorg. Med. Chem. Lett. 14: 455-458 (2004)  |                |
| /N.C                  | C./<br>R2                | Hayakawa, I., et al., "Thienopyridine and Benzofuran Derivatives as Potent Anti-tumor Agents Possessing Different Structure-activity Relationships", Bioorg. Med. Chem. Lett. 14: 3411-3414 (2004)  |                |
| /N.C./                | R3                       | Hayakawa, I., et al., "A Library Synthesis of 4-Hydroxy-3-Methyl-6-Phenylbenzofuran-2-carboxylic Acid Ethyl Ester Derivatives as Anti-tumor Agents", Bioorg. Med. Chem. Lett. 14: 4383-4387 (2004)  |                |
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| Examiner  | /Nizal Chandrakumar/ | Date       | 04/26/2008 |
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